

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James T. FULLER

Title: MINIMAL PROMOTERS AND USES THEREOF

Appl. No.: 09/421,778

Filing Date: 10/19/1999

Examiner: Quang NGUYEN

Art Unit: 1636

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

STATEMENT

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE				Application Number	09/421,778		· ccl
STATEMENT BY APPLICANT			ANT	Filing Date	10/19/1999	/	- <i>8</i>
•				First Named Inventor	James T. FULLER	2 FEB 16 2	005
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(use as many sheets as necessary)				Examiner Name	Quang NGUYEN	(2)	#
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	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Tom (book magazing journal egrial symposium catalog etc.) data hadels) volume-issue hi							
	B1	FULLER et al., "Immune Responses to Hepatitis B Virus Surface and Core Antigens in Mice, Monkeys, and Pigs after Accell® Particle-Mediated DNA Immunization," <u>Annals New York Academy of Sciences</u> , 1995, pp. 282-284						
	B2	HAYNES et al., "Accell® Particle-Mediated DNA Immunization Elicits Humoral, Cytotoxic, and Protective Immune Responses," <u>AIDS Research and Human Retroviruses</u> , 1994, pp. S43-S45, Vol. 10, Supplement 2, Mary Ann Liebert, Inc., Publishers						
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Examiner Signature	Date Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.